

A Few Attempts at Visualizing Shimura Varieties

Sean Gonzales

UC Berkeley

March 25, 2026

Outline

Shimura Varieties

The Modular Curve as a Moduli Space

The Ekedahl-Oort Stratification

References

What's a Shimura Variety?

It depends on who you ask...

What's a Shimura Variety?

It depends on who you ask...

- ▶ **Algebraic geometer:** certain Shimura varieties (PEL/Hodge type) are moduli spaces for abelian varieties with extra structure

What's a Shimura Variety?

It depends on who you ask...

- ▶ **Algebraic geometer:** certain Shimura varieties (PEL/Hodge type) are moduli spaces for abelian varieties with extra structure
- ▶ **Number theorist:** Shimura varieties have canonical models over number fields, and they supply us with Galois representations

What's a Shimura Variety?

It depends on who you ask...

- ▶ **Algebraic geometer:** certain Shimura varieties (PEL/Hodge type) are moduli spaces for abelian varieties with extra structure
- ▶ **Number theorist:** Shimura varieties have canonical models over number fields, and they supply us with Galois representations
- ▶ **Representation theorist:** the cohomology of (compact) Shimura varieties decomposes into discrete automorphic representations

What's a Shimura Variety?

It depends on who you ask...

- ▶ **Algebraic geometer:** certain Shimura varieties (PEL/Hodge type) are moduli spaces for abelian varieties with extra structure
- ▶ **Number theorist:** Shimura varieties have canonical models over number fields, and they supply us with Galois representations
- ▶ **Representation theorist:** the cohomology of (compact) Shimura varieties decomposes into discrete automorphic representations
- ▶ **Langlands enthusiast:** all of the above!

Shimura Varieties

A Shimura variety is denoted by $\mathrm{Sh}_K(G, X)$, consisting of

Shimura Varieties

A Shimura variety is denoted by $\mathrm{Sh}_K(G, X)$, consisting of

- ▶ a reductive group G over $\mathbb{Q}$

Shimura Varieties

A Shimura variety is denoted by $\mathrm{Sh}_K(G, X)$, consisting of

- ▶ a reductive group G over $\mathbb{Q}$
- ▶ a $G(\mathbb{R})$ -conjugacy class X

Shimura Varieties

A Shimura variety is denoted by $\mathrm{Sh}_K(G, X)$, consisting of

- ▶ a reductive group G over $\mathbb{Q}$
- ▶ a $G(\mathbb{R})$ -conjugacy class X
- ▶ a compact open subgroup K of $G(\mathbb{A}_f)$

Shimura Varieties

A Shimura variety is denoted by $\mathrm{Sh}_K(G, X)$, consisting of

- ▶ a reductive group G over $\mathbb{Q}$
- ▶ a $G(\mathbb{R})$ -conjugacy class X
- ▶ a compact open subgroup K of $G(\mathbb{A}_f)$

Example:

$$G = \mathrm{GL}_2, X = \mathcal{H}^\pm, K = K(N) = \ker(\mathrm{GL}_2(\hat{\mathbb{Z}}) \rightarrow \mathrm{GL}_2(\mathbb{Z}/N\mathbb{Z}))$$

Shimura Varieties

A Shimura variety is denoted by $\mathrm{Sh}_K(G, X)$, consisting of

- ▶ a reductive group G over $\mathbb{Q}$
- ▶ a $G(\mathbb{R})$ -conjugacy class X
- ▶ a compact open subgroup K of $G(\mathbb{A}_f)$

Example:

$$G = \mathrm{GL}_2, X = \mathcal{H}^\pm, K = K(N) = \ker(\mathrm{GL}_2(\hat{\mathbb{Z}}) \rightarrow \mathrm{GL}_2(\mathbb{Z}/N\mathbb{Z}))$$

Then the resulting Shimura variety is

$$\mathrm{Sh}_{K(N)}(\mathrm{GL}_2, \mathcal{H}^\pm) = \mathrm{GL}_2(\mathbb{Q}) \backslash \mathcal{H}^\pm \times \mathrm{GL}_2(\mathbb{A}_f) / K(N)$$

Shimura Varieties

A Shimura variety is denoted by $\mathrm{Sh}_K(G, X)$, consisting of

- ▶ a reductive group G over $\mathbb{Q}$
- ▶ a $G(\mathbb{R})$ -conjugacy class X
- ▶ a compact open subgroup K of $G(\mathbb{A}_f)$

Example:

$$G = \mathrm{GL}_2, X = \mathcal{H}^\pm, K = K(N) = \ker(\mathrm{GL}_2(\hat{\mathbb{Z}}) \rightarrow \mathrm{GL}_2(\mathbb{Z}/N\mathbb{Z}))$$

Then the resulting Shimura variety is

$$\begin{aligned}\mathrm{Sh}_{K(N)}(\mathrm{GL}_2, \mathcal{H}^\pm) &= \mathrm{GL}_2(\mathbb{Q}) \backslash \mathcal{H}^\pm \times \mathrm{GL}_2(\mathbb{A}_f) / K(N) \\ &= \bigsqcup_{(\mathbb{Z}/N\mathbb{Z})^\times} Y(N)\end{aligned}$$

Shimura Varieties

A Shimura variety is denoted by $\mathrm{Sh}_K(G, X)$, consisting of

- ▶ a reductive group G over $\mathbb{Q}$
- ▶ a $G(\mathbb{R})$ -conjugacy class X
- ▶ a compact open subgroup K of $G(\mathbb{A}_f)$

Example:

$$G = \mathrm{GL}_2, X = \mathcal{H}^\pm, K = K(N) = \ker(\mathrm{GL}_2(\hat{\mathbb{Z}}) \rightarrow \mathrm{GL}_2(\mathbb{Z}/N\mathbb{Z}))$$

Then the resulting Shimura variety is

$$\begin{aligned}\mathrm{Sh}_{K(N)}(\mathrm{GL}_2, \mathcal{H}^\pm) &= \mathrm{GL}_2(\mathbb{Q}) \backslash \mathcal{H}^\pm \times \mathrm{GL}_2(\mathbb{A}_f) / K(N) \\ &= \bigsqcup_{(\mathbb{Z}/N\mathbb{Z})^\times} Y(N)\end{aligned}$$

where $Y(N) := \Gamma(N) \backslash \mathcal{H}$ is the modular curve and $\Gamma(N)$ is the principal congruence subgroup of level N .

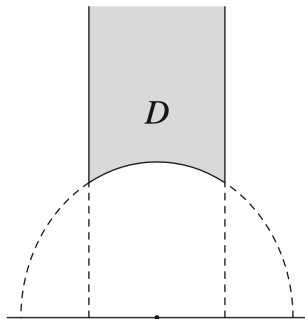
Modular Curve $Y(1)$

Let's look at the modular curve $Y(1) = \mathrm{SL}_2(\mathbb{Z}) \backslash \mathcal{H}$.

Modular Curve $Y(1)$

Let's look at the modular curve $Y(1) = \mathrm{SL}_2(\mathbb{Z}) \backslash \mathcal{H}$.
It has the following fundamental domain [DS05]:

$$D = \left\{ \tau \in \mathcal{H} : |\mathrm{Re}(\tau)| \leq \frac{1}{2}, |\tau| \geq 1 \right\}$$



Modular Curve $Y(1)$ as a Moduli Space

Each point $\tau \in \mathcal{H}$ gives rise to a complex elliptic curve:

$$E_\tau = \mathbb{C}/\Lambda_\tau \quad \text{where } \Lambda_\tau = \mathbb{Z} \oplus \tau\mathbb{Z}$$

Modular Curve $Y(1)$ as a Moduli Space

Each point $\tau \in \mathcal{H}$ gives rise to a complex elliptic curve:

$$E_\tau = \mathbb{C}/\Lambda_\tau \quad \text{where } \Lambda_\tau = \mathbb{Z} \oplus \tau\mathbb{Z}$$

Topologically, E_τ is a **complex torus**.

Modular Curve $Y(1)$ as a Moduli Space

Each point $\tau \in \mathcal{H}$ gives rise to a complex elliptic curve:

$$E_\tau = \mathbb{C}/\Lambda_\tau \quad \text{where } \Lambda_\tau = \mathbb{Z} \oplus \tau\mathbb{Z}$$

Topologically, E_τ is a **complex torus**.

For two points $\tau_1, \tau_2 \in \mathcal{H}$,

$$\mathrm{SL}_2(\mathbb{Z})\tau_1 = \mathrm{SL}_2(\mathbb{Z})\tau_2 \iff \Lambda_{\tau_1} \sim \Lambda_{\tau_2} \iff \mathbb{C}/\Lambda_{\tau_1} \cong \mathbb{C}/\Lambda_{\tau_2}$$

Modular Curve $Y(1)$ as a Moduli Space

Each point $\tau \in \mathcal{H}$ gives rise to a complex elliptic curve:

$$E_\tau = \mathbb{C}/\Lambda_\tau \quad \text{where } \Lambda_\tau = \mathbb{Z} \oplus \tau\mathbb{Z}$$

Topologically, E_τ is a **complex torus**.

For two points $\tau_1, \tau_2 \in \mathcal{H}$,

$$\mathrm{SL}_2(\mathbb{Z})\tau_1 = \mathrm{SL}_2(\mathbb{Z})\tau_2 \iff \Lambda_{\tau_1} \sim \Lambda_{\tau_2} \iff \mathbb{C}/\Lambda_{\tau_1} \cong \mathbb{C}/\Lambda_{\tau_2}$$

Thus, points in the fundamental domain D correspond to unique isomorphism classes of elliptic curves.

Modular Curve $Y(1)$ as a Moduli Space

Visualization idea: for every point $\tau \in D$, render a complex torus corresponding to E_τ .

Modular Curve $Y(1)$ as a Moduli Space

Visualization idea: for every point $\tau \in D$, render a complex torus corresponding to E_τ .

As we move the point τ around, we can watch the torus morph!

Modular Curve $Y(1)$ as a Moduli Space

Visualization idea: for every point $\tau \in D$, render a complex torus corresponding to E_τ .

As we move the point τ around, we can watch the torus morph!

Problem: which torus do we choose for each elliptic curve?

Visualizing an Elliptic Curve with a Torus

An elegant solution is described by Nadir Hajouji and Steve Trettel [HT25] based on work by Pinkall [Pin85].

Visualizing an Elliptic Curve with a Torus

An elegant solution is described by Nadir Hajouji and Steve Trettel [HT25] based on work by Pinkall [Pin85].

$$\mathbb{C}/\Lambda_\tau \xrightarrow{\text{isometry via Hopf fibration}} \mathbb{S}^3 \xrightarrow{\text{stereographic projection}} \mathbb{R}^3$$

Visualizing an Elliptic Curve with a Torus

An elegant solution is described by Nadir Hajouji and Steve Trettel [HT25] based on work by Pinkall [Pin85].

$$\mathbb{C}/\Lambda_\tau \xrightarrow{\text{isometry via Hopf fibration}} \mathbb{S}^3 \xrightarrow{\text{stereographic projection}} \mathbb{R}^3$$

The isometry is constructed using the following fact:

Visualizing an Elliptic Curve with a Torus

An elegant solution is described by Nadir Hajouji and Steve Trettel [HT25] based on work by Pinkall [Pin85].

$$\mathbb{C}/\Lambda_\tau \xrightarrow{\text{isometry via Hopf fibration}} \mathbb{S}^3 \xrightarrow{\text{stereographic projection}} \mathbb{R}^3$$

The isometry is constructed using the following fact:

Let C be a simple closed curve on $\mathbb{S}^2$ of length L and area A .

Visualizing an Elliptic Curve with a Torus

An elegant solution is described by Nadir Hajouji and Steve Trettel [HT25] based on work by Pinkall [Pin85].

$$\mathbb{C}/\Lambda_\tau \xrightarrow{\text{isometry via Hopf fibration}} \mathbb{S}^3 \xrightarrow{\text{stereographic projection}} \mathbb{R}^3$$

The isometry is constructed using the following fact:

Let C be a simple closed curve on $\mathbb{S}^2$ of length L and area A .

Then the preimage $\eta^{-1}(C)$ via the Hopf fibration $\eta : \mathbb{S}^3 \rightarrow \mathbb{S}^2$ is isometric to $\mathbb{C}/\Lambda$ for $\Lambda = 2\pi\mathbb{Z} \oplus \left(\frac{A}{2} + i\frac{L}{2}\right)\mathbb{Z}$.

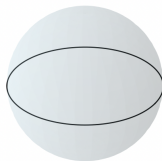
Visualizing the Modular Curve $Y(1)$ as a Moduli Space

Thus, I want a continuous map

$$\tau \in D \longrightarrow \text{curve } C_\tau \text{ s.t. } 2\pi\mathbb{Z} \oplus \left(\frac{A_{C_\tau}}{2} + i\frac{L_{C_\tau}}{2} \right) \mathbb{Z} \sim \Lambda_\tau$$

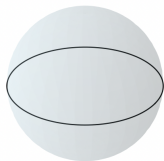
Visualizing the Modular Curve $Y(1)$ as a Moduli Space

Actually, it makes (some) sense to change the fundamental domain: the equator of the sphere is a natural starting point and has $A = 2\pi, L = 2\pi$



Visualizing the Modular Curve $Y(1)$ as a Moduli Space

Actually, it makes (some) sense to change the fundamental domain: the equator of the sphere is a natural starting point and has $A = 2\pi, L = 2\pi$



The corresponding lattice is

$$\Lambda = 2\pi\mathbb{Z} \oplus (\pi + i\pi)\mathbb{Z} \sim \mathbb{Z} \oplus \frac{1+i}{2}\mathbb{Z}$$

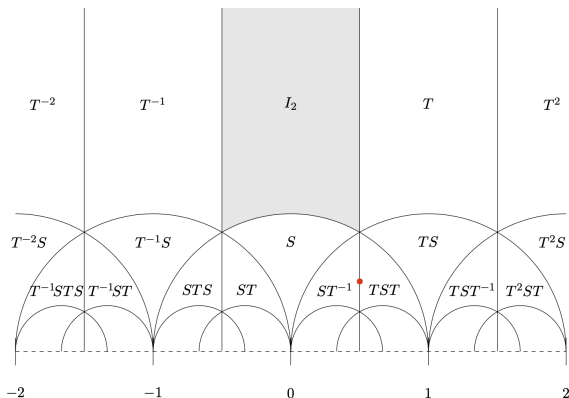
So we should pick a fundamental domain which contains $\frac{1+i}{2}$.

Visualizing the Modular Curve $Y(1)$ as a Moduli Space

The figure below ([Connd]) shows a few of the transforms of D by the matrices

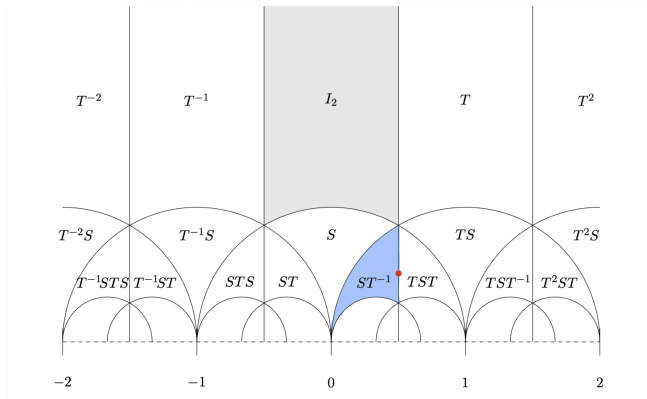
$$S = \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix}, \quad T = \begin{pmatrix} 1 & 1 \\ 0 & 1 \end{pmatrix}$$

which generate $SL_2(\mathbb{Z})$:



Visualizing the Modular Curve $Y(1)$ as a Moduli Space

$ST^{-1}(D)$ is the only transform containing $\frac{1+i}{2}$ for which curves on the sphere can be constructed.



Caveat: not every point in $ST^{-1}(D)$ can be reached.

Choice of Curves

I chose curves of the following form:

$$\phi(t) = \frac{2\pi}{\phi_0} \int_0^t \cos(A \sin(nu)) du$$

$$\theta(t) = \frac{\pi}{2} - B \left(\int_0^t \sin(A \sin(nu)) du - \theta_0 \right) - k$$

Choice of Curves

I chose curves of the following form:

$$\phi(t) = \frac{2\pi}{\phi_0} \int_0^t \cos(A \sin(nu)) du$$

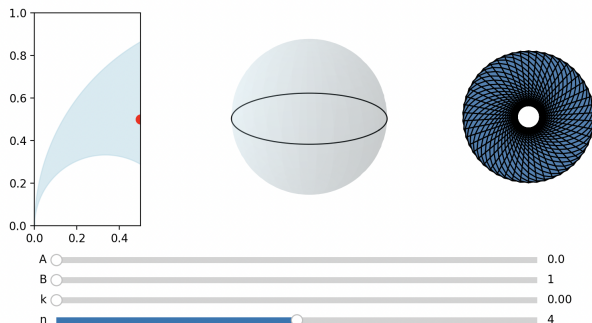
$$\theta(t) = \frac{\pi}{2} - B \left(\int_0^t \sin(A \sin(nu)) du - \theta_0 \right) - k$$

The parameters are:

- ▶ A : curviness/bulbiness
- ▶ B : amplitude
- ▶ k : vertical offset
- ▶ n : number of bulbs

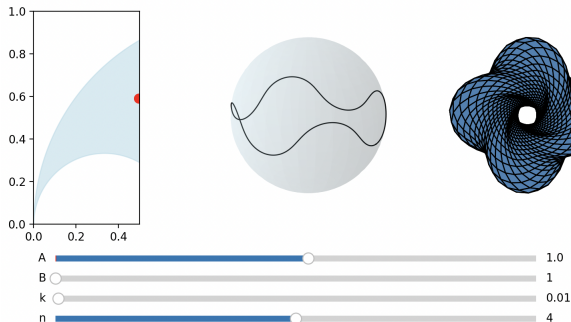
Visualizing the Modular Curve $Y(1)$ as a Moduli Space

Example 1



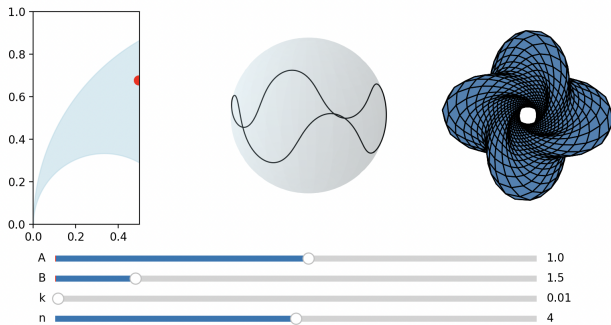
Visualizing the Modular Curve $Y(1)$ as a Moduli Space

Example 2



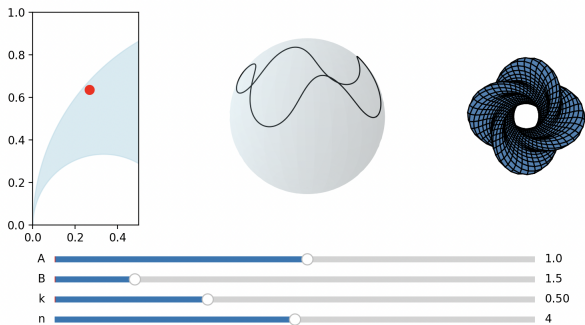
Visualizing the Modular Curve $Y(1)$ as a Moduli Space

Example 3



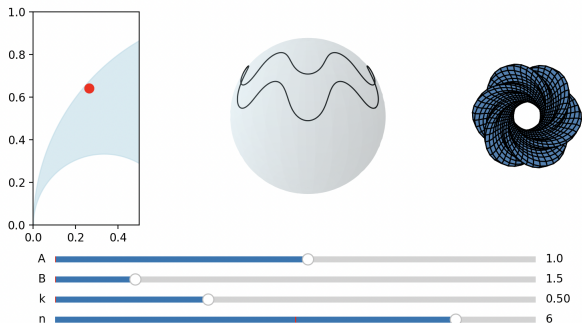
Visualizing the Modular Curve $Y(1)$ as a Moduli Space

Example 4



Visualizing the Modular Curve $Y(1)$ as a Moduli Space

Example 5



Demo

Future Work

Future Work

- ▶ Make visualization work with standard fundamental domain

Future Work

- ▶ Make visualization work with standard fundamental domain
- ▶ Choose exactly one curve per $\tau \in D$

Future Work

- ▶ Make visualization work with standard fundamental domain
- ▶ Choose exactly one curve per $\tau \in D$
- ▶ Allow for level structures, e.g. highlight an order N subgroup

Ekedahl-Oort Types

An elliptic curve E over $\mathbb{F}_p$ comes in two flavors:

- ▶ **Ordinary** if $E[p] \cong L := \mathbb{Z}/p\mathbb{Z} \oplus \mu_p$
- ▶ **Supersingular** if $E[p] \cong I_{1,1}$ where $0 \rightarrow \alpha_p \rightarrow I_{1,1} \rightarrow \alpha_p \rightarrow 0$ is a non-split exact sequence

Ekedahl-Oort Types

An elliptic curve E over $\mathbb{F}_p$ comes in two flavors:

- ▶ **Ordinary** if $E[p] \cong L := \mathbb{Z}/p\mathbb{Z} \oplus \mu_p$
- ▶ **Supersingular** if $E[p] \cong I_{1,1}$ where $0 \rightarrow \alpha_p \rightarrow I_{1,1} \rightarrow \alpha_p \rightarrow 0$ is a non-split exact sequence

A higher dimensional (principally polarized) abelian variety A over $\mathbb{F}_p$ can be categorized into *Ekedahl-Oort types* based on the group scheme $A[p]$.

Ekedahl-Oort Types

An elliptic curve E over $\mathbb{F}_p$ comes in two flavors:

- ▶ **Ordinary** if $E[p] \cong L := \mathbb{Z}/p\mathbb{Z} \oplus \mu_p$
- ▶ **Supersingular** if $E[p] \cong I_{1,1}$ where $0 \rightarrow \alpha_p \rightarrow I_{1,1} \rightarrow \alpha_p \rightarrow 0$ is a non-split exact sequence

A higher dimensional (principally polarized) abelian variety A over $\mathbb{F}_p$ can be categorized into *Ekedahl-Oort types* based on the group scheme $A[p]$.

Example: 2-dimensional abelian varieties come in 4 Ekedahl-Oort types (see [Pri06]):

- ▶ $A[p] \cong L^2$ (*ordinary*)
- ▶ $A[p] \cong L \oplus I_{1,1}$
- ▶ $A[p] \cong I_{2,1}$
- ▶ $A[p] \cong I_{1,1}^2$ (*superspecial*)

Ekedahl-Oort Stratification

There are 2^g Ekedahl-Oort types for g -dimensional principally polarized abelian varieties.

Ekedahl-Oort Stratification

There are 2^g Ekedahl-Oort types for g -dimensional principally polarized abelian varieties.

The moduli space of g -dimensional abelian varieties over $\mathbb{F}_p$, $\mathcal{A}_{g,\mathbb{F}_p}$, can be stratified into 2^g strata determined by the Ekedahl-Oort type.

Ekedahl-Oort Stratification

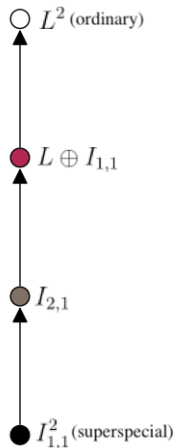
There are 2^g Ekedahl-Oort types for g -dimensional principally polarized abelian varieties.

The moduli space of g -dimensional abelian varieties over $\mathbb{F}_p$, $\mathcal{A}_{g,\mathbb{F}_p}$, can be stratified into 2^g strata determined by the Ekedahl-Oort type.

Goal: construct a diagram to visualize the structure of the Ekedahl-Oort stratification.

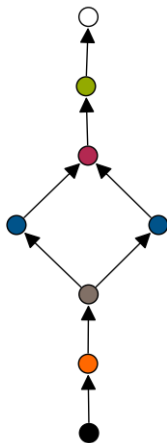
Visualizing the Ekedahl-Oort Stratification

Example: $G = \mathrm{GSp}_4$ (Siegel modular variety) i.e. $\mathcal{A}_{2,\mathbb{F}_p}$



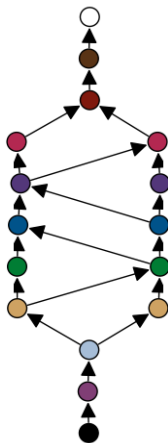
Visualizing the Ekedahl-Oort Stratification

Example: $G = \mathrm{GSp}_6$ (Siegel modular variety) i.e. $\mathcal{A}_{3,\mathbb{F}_p}$



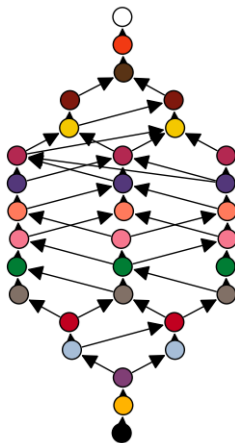
Visualizing the Ekedahl-Oort Stratification

Example: $G = \mathrm{GSp}_8$ (Siegel modular variety) i.e. $\mathcal{A}_{4,\mathbb{F}_p}$



Visualizing the Ekedahl-Oort Stratification

Example: $G = \mathrm{GSp}_{10}$ (Siegel modular variety) i.e. $\mathcal{A}_{5, \mathbb{F}_p}$



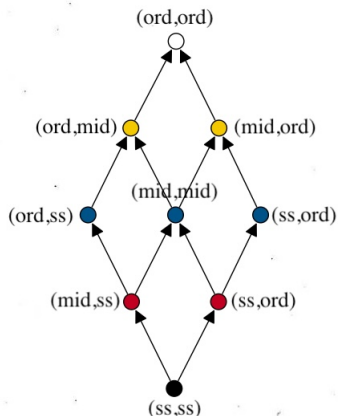
Visualizing the Ekedahl-Oort Stratification

Example: $G = \mathrm{SU}(2, 1)$; this gives a moduli space for 3-dimensional abelian varieties with a “signature $(2, 1)$ condition”.



Visualizing the Ekedahl-Oort Stratification

Example: $G = \mathrm{SU}(2, 1) \times \mathrm{SU}(2, 1)$; this gives a moduli space for pairs of 3-dimensional abelian varieties (A_1, A_2) with a “signature $(2, 1)$ condition”.



Future Work

Future Work

- ▶ Handle cases with non-trivial Frobenius action on root system

Future Work

- ▶ Handle cases with non-trivial Frobenius action on root system
- ▶ Connect visualization to Bruhat-Tits building

Thank you!

References



Keith Conrad.

$SL(2, \mathbb{Z})$.

[https://kconrad.math.uconn.edu/blurbs/grouptheory/SL\(2, \$\mathbb{Z}\$ \) .pdf](https://kconrad.math.uconn.edu/blurbs/grouptheory/SL(2,Z).pdf), n.d.
Expository notes, University of Connecticut.



Fred Diamond and Jerry Shurman.

A First Course in Modular Forms, volume 228 of *Graduate Texts in Mathematics*.
Springer Science & Business Media, New York, NY, 2005.



Nadir Hajouji and Steve Trettel.

Elliptic curves and the hopf fibration, 2025.



Ulrich Pinkall.

Hopf tori in S^3 .

Inventiones mathematicae, 81(2):379–386, 1985.



Rachel Pries.

A short guide to p-torsion of abelian varieties in characteristic p, 2006.